

2020/2021

# Annual Report

25 AUGUST 2021

**NON AUDITED VERSION**

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## Part One – Introduction

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## Kaipara District Elected Members

### Pictures and wards

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## A word from the Mayor and Chief Executive

Joint message

500 word max

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## Statement of Compliance

Picture plus signatures from CE/Mayor

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## Infographic page

### Resident satisfaction tracking

### Top 5 services

### CSC

- Number of emails/phone calls dealt with

### Regulatory

- New build value
- Consents value

### Funding

- amount awarded

### Other important information

- External funding awarded
- 54,000 native plants and trees planted across district

## A year in Review – What we completed

### July-2020

- Council secured \$2.87m from MBIE towards a worker redeployment. Over xx people employed in the Kaipara District, who were previously unemployed
- Emergency works approved for the Rotu Intake (Dargaville). Project completed before winter.
- Wood Street, Mangawhai – innovating streets – approved, 90% funded by Waka Kotahi

### August-2020

- Further government funding announced from Infrastructure Reference Group

### September-2020

- Selwyn Park (Dargaville) gets lighting upgrade
- \$4.69m funding from DIA as part of Three Waters

### October-2020

- Dargaville Pontoon opens
- \$7.15m of funding secured through Shovel Ready Programme for Kaihu Valley Trail (\$4m), Mangawhai Shared Path (\$2.4m) and Kaiwaka Footbridges and Linked Pathways (\$0.75m).

### November-2020

- St Josephs School (Dargaville) road safety improvements

### December-2020

- Wood Street (Mangawhai) goes One-Way, road art installed and community planting
- New picnic tables installed around Mangawhai
- New welcome to Dargaville signs blessed and unveiled
- New pathway in Matakohē connecting the community
- District-wide Spatial Plan adopted
- Mangawhai summer plan with record number of free bus users

### January-2021

- Bayliss Beach Walkway opens

### March-2021

- Historic Daring schooner temporarily located next to Mangawhai Museum

### April-2021

- Safety improvements (new footpaths) at Kaiwaka School
- \$3.97m of funding secured from Waka Kotahi towards the Mangawhai Shared Path

### May-2021

- 2.5km of watermain renewed in Dargaville
- Work starts on Ruawai watermain renewal (3.4km)
- River Road Floodgate Renewals

- Alamar Crescent (Mangawhai) Stormwater pipe repair

**June-2021**

- New stormwater line in Dargaville
- Dargaville Library reopens after makeover
- New aerator in Te Kopuru Wastewater Plant
- Long Term Plan for 2021-2031 adopted

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## A Year in Review

While the major impacts of COVID-19 were in the previous year, there are still lingering effects in the 2020-2021 year. Lockdowns at the border due to our proximity to Auckland, shortages of raw materials and resource issues in terms of people and demand for work are all examples. While there are benefits in terms of Government funding, of which Kaipara has received a significant amount, there are pressures that need to be managed.

Kaipara was well positioned to attract external funding which would support our recovery from COVID-19, and also allow us to progress projects while minimising the impact on ratepayers

- Shovel Ready Programme \$7.15m - Kaihu Valley Trail (\$4m), Mangawhai Shared Path (\$2.4m) and Kaiwaka Footbridges and Linked Pathways (\$0.75m).
- Worker Redeployment Package \$2.87m – Park vegetation clearance, roadside clearance and footpaths
- Three Waters Package - \$4.69m

In addition to the Covid response packages, Council worked closely with Waka Kotahi to unlock funding for key projects including the Mangawhai Shared Path (\$3.97m) and Wood Street (\$500k).

That's nearly \$20m of investment that doesn't have to be funded through rates and will allow us to make a real difference for communities across the Kaipara.

Overall, Kaipara is a district still in growth mode, with 839 building consents received within the 2020-2021 year, the highest in the last six years. Our total new and relocated dwellings was 232, with a total value of \$78m of work.

### As at June 2021, Council PPC 78

New residents bring new opportunities and new ideas to their communities. An integral part of our mahi is what we do in our communities, through funding, community-led programmes, liaison with groups and interested parties. In the last year we facilitated just over \$441k in funds back to our communities through Community Grants, MELA and Reserve Contributions Fund, we also deliver the Rural Travel Fund and Creative Community Grants.

In October 2020, Council voted to incorporate a Māori Ward as part of their Representation Review, which is scheduled for late August 2021.

A big part of 2020-2021 was developing the Council's Long Term Plan for 2021 – 2031. In November 2020 Council was out and about in the district listening to what our communities wanted to see us focus on. What came through clearly was the desire for us to focus on building our infrastructure, addressing issues of the future, such as climate change, waste and recycling, and also dealing with the aging buildings in Dargaville. These things form the backbone of the Plan.

The Long Term Plan is a collection of long form planning documents, including a new 30 year Infrastructure Strategy with a focus on supporting sustainable growth, a new Development Contributions Policy ensuring fairer distributions of funding for growth projects across Kaipara, and a Financial Strategy that balances the demands of managing debt, while also ensuring we're being fiscally responsible to future ratepayers and not stifling growth.

The Long Term Plan overall delivers on Council's vision of *Growing a Better Kaipara*, through ensuring our social, economic, cultural, and environmental wellbeing enabling our district and our communities to thrive. You can read more at [www.kaipara.govt.nz/ltp](http://www.kaipara.govt.nz/ltp)

## Financial position

The key objective of Kaipara District Council in the last two Long Term Plans was to repay debt, which had climbed to \$83m at its peak in 2011. This was being paid for by a population of 18,700 (rateable properties totalled 12,310) so the key challenge was to reduce risk by reducing debt. Service levels were kept at a base level and depreciation was not able

to be funded for most assets. This resulted in a backlog of renewals, as the Council had not accumulated sufficient funds to ensure its aging infrastructure could be repaired and renewed as necessary.

From a finance perspective our debt is in a much healthier position with \$44m as at 30 June 2021. This level will rise due to the nature of capital works forecast in the Long Term Plan 2021 – 2031. Using debt to fund capital works spreads the cost of the asset over generations, which is a fairer approach. While the overall debt will increase the amount owed per ratepayer has decreased, with long term plan forecasting showing this is set to decrease over the coming years even further.

Property valuations have also been carried out by Quotable Value (QV) for rating purposes (this happens triennially, once every three years). On average, the increase in land value across the district is 17.5%. The value of residential housing has increased 24.2% since 2017 with the average house value now sitting at \$625,600, while the corresponding average land value increased by 24.4% to an average of \$297,000. There are large percentage value increases in the central and western side of the district coming off low base levels and good demand for central Kaipara blocks with harbour views. Well-presented lifestyle properties surrounding Mangawhai with unimpeded outlooks and quality improvements are also fetching premium prices. Overall change in capital values for pastoral farms is 6.4% and dairy farms 1.8%.

### Navigating a changing environment

The last year has been one of announcements.

The Three Waters Review, the Resource Management Act reform, a panel looking at local government and the changes we're likely to see in the world of waste minimisation, recycling and climate change are all factors that influence our work in Local Government

Council signed a Memorandum of Understanding in 2020 to participate in the process of defining future service delivery options through the government-led Three Waters Review. The review will establish new entities to manage the delivery and quality of our drinking water, wastewater, and stormwater services commencing in 2024. Work is ongoing and we anticipate that any council decision-making on future steps won't be until in late 2021.

The Government has announced a repeal and replacement of the current Resource Management Act. It will be replaced by three new Acts, which are still to be written. Natural and Built Environments Act (NBA) to provide for land use and environmental regulation (this would be the primary replacement for the RMA) Strategic Planning Act (SPA) to integrate with other legislation relevant to development, and require long term regional spatial strategies, this is why we're accelerating our work in the District Plan review.

In April 2020 it was announced a review of Local Government had started which is due to be completed by 2023. The initial focus is "how local government will be a contributor to the wellbeing and prosperity of New Zealand". A report is due to the Minister for Local Government by September 2021, and will signal the likely direction of the review, and key steps. At the moment Council is taking part at any opportunity to ensure that local voices are heard in any changes.

## Delivering our externally funded projects

We've been fortunate to secure government funding for many projects in the last few years, below are updates on these projects -

### *Redeployment Package*

The Tai Tokerau Redeployment Package was negotiated with MBIE as a result of anticipated job losses as a result of COVID-19. The work included lower risk activities including roadside clearance, footpaths (Matakohe) and sight rail improvements. Kaipara also secured a significant (\$1.6m) allocation to clear vegetation and make improvements at premier parks. At the peak of Kaipara's vegetation clearance and clean ups element of the redeployment package in September 2020, 43 people were actively involved in the works, with 23 of these being previously unemployed. A notable example of new working relationships at an individual level, stemmed from Turf Tamer Ltd and Te Roroa Group working together to deliver an element of the works at Taharoa Domain. The relationships developed at an individual level provided an opportunity for an employee from Te Roroa Group to purchase a lawn mowing operation of the Turf Tamer business and start his own venture, providing works opportunities for both himself and his whānau.

### *Wharves*

June 2019, MBIE allocated \$4.95m of funding from the Provincial Growth Fund (PGF) to begin the redevelopment of the Kaipara Harbour. The funding was divided into two tranches, \$950,000 for the investigation and analysis of the financial and economic benefits of a network of wharves and \$4m for the subsequent construction and implementation of the identified opportunities. The Dargaville pontoon was opened in October 2020 and procurement is underway for both Pouto and Pahi wharf, both of which will be constructed by the end of 2021.

### *Kai Water*

In April 2020, Council secured funding of \$740,000 from MBIE, enabling the establishment of two practical working examples of irrigating high value horticultural crops in the Kaipara. These demonstration sites are intended to be used to inform landowners and external investors about high value land and water use and the application of innovative technologies. Access to practical working examples, expert advice and local knowledge will provide decision support and confidence in transforming land usage towards horticulture in the Kaipara. While work is currently ongoing, the sites are planned to be operational by October 2021.

### *Pouto Road*

The PGF allocated funding to seal 9.8km of Pouto Road (Phase 1) from the end of the current sealed section to Ari Ari Road. As part of the works, Council worked with Ripia Marae to reopen the Greenhill quarry near the northern end of the peninsula. The Council intends to work with the marae to re-establish the mana of 'Motu Whetiki' and create a recreational space. It's planned for this part of the project to be completed by April 2022.

Although funding from MBIE was no longer available to complete the sealing (Phase 2), design work has been completed and the Council will work with Iwi and Government to secure funding in the future.

### *Waipoua River Road*

In September 2019 MBIE allocated \$1.61m from the PGF to Te Roroa to seal approximately 1.5km of Waipoua River Road from State Highway 12 to the proposed visitor centre. The Waipoua River Road sealing project is part of Te Roroa's objectives to enable the delivery of the Rakau Rangitira project by creating an enhanced single-entry point for the kauri walks and Tane Mahuta experience. The design was completed this year and the construction is planned to be completed by July 2022.

### *Unsealed Roding network investigations*

The implementation of the 'Unsealed Roding Improvements' project commenced with ~37km of unsealed road having been reconstructed to date using the approved \$3.15m PGF funding included in Roding Package Funding Agreement 2 and \$4.91m of approved Infrastructure Reference Group (IRG) funding. The Programme is on track to be completed in September 2021.

### *Mangawhai Shared Path*

In October 2020, MBIE allocated \$2.4m of funding from the IRG fund for the construction of approximately 3.8km of shared pathway on Molesworth Drive and improvements to the Insley Street/Moir Street intersection and Molesworth Drive/Moir Street intersection. Waka Kotahi has agreed to fund \$4m and by the end of the year we had procured United Civil as our contractors to deliver the work.

The intersections will be completed by early 2022 with all other construction planned for completion by October 2022.

### *Kaiwaka Footbridges*

In November 2020, MBIE approved \$750,000 funding from the IRG fund for the construction of two bridges in Kaiwaka. By implementing these pedestrian bridges, we align to the Walking and Cycling Strategy, and will eventually link to the Ancient Kauri Trail, supporting growth and economic development for the township. Construction is expected to be completed by March 2022.

### *Kaihu Valley Trail*

In October 2020, MBIE allocated \$4m of funding from the IRG fund to construct sections of the Kaihu Valley Trail. The allocated funding will deliver a 36km walking and cycle trail, providing a higher quality journey between Dargaville and Donnelly's Crossing. The track will eventually form part of the Ancient Kauri Trail. It's planned for construction to be completed in late 2022.

### *Kaipara Stopbank Enhancement*

MBIE has allocated funding of \$6m through their Climate Resilience programme towards stopbank improvements in Kaipara. Two projects were announced by the Minister in October 2020 which are critical to the success of the North Kaipara Agricultural Delta. Te Kopuru to Dargaville section works will be undertaken to upgrade the stopbanks to a specified level and allow for future increase in protection heights and Raupo which will include the install of a dual sluice gate coupled with a single floodgate assembly on Canal G (Ruawai).

### *Three Waters Programme*

The Department of Internal Affairs also awarded a total of \$4.691m for nine projects as part of the Three Waters Programme. These projects are planned to be completed by March 2022. They include:

- Watermain renewals in Dargaville, Maungatūroto and Ruawai
- Wastewater renewals in Dargaville and Kaiwaka
- A water truck filler in Maungatūroto
- A reservoir replacement at Maungatūroto.

NB: On 27 May 2021 the Minister for Economic and Regional Development announced the Regional Strategic Partnership Fund that has a renewed focus on strategic investment. This announcement also signalled the official closure of the former Provincial Growth Fund. The formerly funded projects now come under the Kānoa – Regional Economic Development & Investment Unit (Kānoa – REDIU).

## Part Two - Finances

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## Part Three – Performance Measures Activity Profiles

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## Introduction

The following section describes the different Groups of activities that Council undertake. For the Long Term Plan 2018 - 2028 Council's work is classed into nine Groups.

- The Provision of Roads and Footpaths
- Water Supply
- Stormwater Drainage
- Sewerage and Treatment and Disposal of Sewage
- Flood Protection and Control Works
- Solid Waste
- Community Activities
- Planning and Regulatory management
- District Leadership, Finance and Internal Services

The information provided about each activity within the Groups includes:

- The performance measures as stated in the Long Term Plan 2018-2028 and their results
- The Capital Programme and budgets
- What was achieved based on Year Three Plans from the Long Term Plan 2018 - 2028

Performance measures are set once every three years at the long term planning stage. The Long Term Plan (LTP) is an agreement Council makes to the community that will ensure we are delivering what we said we would. This year we are in year three of the LTP. The performance measures are a combination of important community feedback on our levels of service that is gathered from an annual residents survey, as well as measures using number and percentage targets e.g. zero road fatalities. Some of the measures are based on levels of service and are applicable until the next Long Term Plan (LTP) is adopted, while others are based on statutory obligations.

The customer satisfaction results relate to resident surveys undertaken throughout the year by Key Research. 883 residents were contacted during the year and asked their opinion on Council's services and facilities. The survey is conducted three times during the year and the percentage results are the average of the three completed surveys.

## The Provision of Roads and Footpaths

This activity manages an efficient transportation network and supports our thriving communities.

It aims to improve road safety across the network and ensures our communities have easy access in and around Kaipara.

This activity is delivered by the Northland Transportation Alliance (NTA) which includes Kaipara District Council staff.

### Performance Measures

1.0	The provision of roads and footpaths MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>Safety</b>					
The transportation network is designed and managed for safe use with low crash and injury rates.					
1.1	<i>There are no fatalities and serious injury crashes on the local network that are directly attributable to road condition.</i>	0		0	<b>Achieved</b>  There were 2 fatal accidents and 9 serious accidents with 11 actual injuries on KDC roads this FY.  None of the accidents were due to road condition.
1.2	<i>The change from the previous financial year in the number of fatalities and serious injury crashes on the local road network. (12 crashes in 2019/2020)</i>	≤10		-1	<b>Achieved</b>  There were 2 fatal accidents and 9 serious accidents with 11 actual injuries on KDC roads this FY.  None of the accidents were due to road condition.
<b>Road Condition (Smoothness)</b>					
The average quality of ride on a sealed local road network, measured by smooth travel exposure.					
1.3	<i>The average quality of ride measured by smooth travel exposure within the following range.</i>	>90		91%	<b>Achieved</b>  <i>Comment to come**</i>
1.4	<i>The percentage of the sealed local road network that is resurfaced.</i>	>6.7%		11.70%	<b>Achieved</b>  53.539 Km were resealed on the KDC sealed road network this FY. The network is 452.3km long.
<b>Maintenance of the sealed local network</b>					

1.5	<i>Measured by the actual spent to budget percentage for the surfacing renewal budget.</i>	>95% - <103%		185%	<p><b>Not Achieved</b></p> <p>The actual spend was higher than the original budget however this change in budget was agreed with Waka Kotahi.</p> <p>The program was altered to achieve the required Level of Service outcome on the sealed road network. This resulted in a significant increase in spend and increased length of resealing achieved within the approved NZTA funding.</p>
<b>Maintenance of the unsealed local network</b>					
1.6	<p><i>The length of the unsealed local network that is graded, measured using the NZTA One Network Road Classification (measurement data sourced from RAMM (Road Asset Maintenance Management) Contractor):</i></p> <p><i>Secondary Collector Road</i></p> <p><i>Low Volume Road</i></p> <p><i>Access Road</i></p>	<p>140km min</p> <p>750km min</p> <p>1,200km min</p>		<p>119.15</p> <p>682.88</p> <p>1299.9</p>	<p><b>Not achieved</b></p> <p>This measure wasn't achieved, however, it does not reflect the true work impact on the KDC unsealed network as there was an additional \$3.27M spent on unsealed rehabilitation from the PGF funding. This extra investment along with the BAU investment has improved the networks overall Level of Service and grading was not required as the network was within contract specification.</p>
<b>Execution of capital works programme</b>					
1.7	<i>Maximum uptake, within the three-year planning programme, of the approved NZTA budget for Kaipara district, provided Council can also fund the local share.</i>	≥95%		95.21%	<p><b>Achieved</b></p> <p>Total NZTA three year funding approval was \$60,629,256. Total Expenditure for all NZTA subsidised activities was \$57,734,713</p>
<b>Footpaths</b>					
The percentage of footpaths within the district that fall within the Level of Service as determined by the condition rating (facilities are up to date, in good condition and 'fit for purpose').					
1.8	<i>Percentage of residents who are satisfied with footpaths</i>	73%	52.33%	52.33%	<p><b>Not achieved</b></p> <p>Lack of maintenance is the main concern. Historically we have had low funding of footpaths and we have sought to address this in the 2021 Long Term Plan.</p>

Response to service requests					
1.9	<i>The percentage of customer service requests relating to roads and footpaths to which the Council responds within the specified timeframe of two working days.</i>	90%	95.28%	95.28%	Achieved
For the year ended:		Actual	Annual Plan	Annual Report	Annual Plan
30 June		2020-2021	2020-2021	2019-2020	2019-2020
		\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### The Provision of Roads and Footpaths

#### Operating funding

##### Sources of operating funding

General rates, uniform annual general charges, rate penalties	10,145	10,145	9,966	9,966
Targeted rates	398	407	389	390
Subsidies and grants for operating purposes	7,941	4,565	4,838	6,072
Fees and charges	278	160	232	0
Internal charges and overheads recovered	1,831	1,930	1,413	2,099
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	0	0	0	0
<b>Total operating funding</b>	<b>20,594</b>	<b>17,207</b>	<b>16,838</b>	<b>18,528</b>

##### Application of operating funding

Payments to staff and suppliers	11,489	8,237	8,671	9,722
Finance costs	74	74	85	85
Internal charges and overheads recovered	4,374	4,543	4,458	4,690
Other operating funding applications	0	0	0	0
<b>Total applications of operating funding</b>	<b>15,937</b>	<b>12,854</b>	<b>13,214</b>	<b>14,497</b>

<b>Surplus (deficit) of operating funding</b>	<b>4,657</b>	<b>4,353</b>	<b>3,624</b>	<b>4,031</b>
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## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	12,319	12,081	5,960	18,974
Development and financial contributions	100	104	140	103
Increase (decrease) in debt	0	529	0	629
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0

<b>Total sources of capital funding</b>	<b>12,419</b>	<b>12,714</b>	<b>6,100</b>	<b>19,706</b>
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### Applications of capital funding

Capital expenditure - to meet additional demand	739	1,312	629	1,372
Capital expenditure - to improve the level of service	8,006	8,460	1,485	11,937
Capital expenditure - to replace existing assets	8,512	6,976	6,902	11,309
Increase (decrease) in reserves	(181)	319	707	(880)
Increase (decrease) of investments	0	0	0	0

<b>Total applications of capital funding</b>	<b>17,076</b>	<b>17,068</b>	<b>9,724</b>	<b>23,737</b>
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<b>Surplus (deficit) of capital funding</b>	<b>(4,657)</b>	<b>(4,353)</b>	<b>(3,624)</b>	<b>(4,031)</b>
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<b>Funding Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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## Water Supply

We operate five community water supply schemes that provide potable water:

- Dargaville (including Baylys);
- Glinks Gully;
- Ruawai;
- Maungaturoto; and
- Mangawhai (small scheme).

We collect, treat and distribute treated water to the point of supply. Council undertakes the following:

- Asset management;
- Treatment plant operation and maintenance;
- Network operations and maintenance;
- Capital and refurbishment programme;
- Water billing; and
- Consent monitoring and compliance.

## Performance Measures

2.0	Water supply MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>Fault Response Times</b> Where Council attends a callout in response to a fault or unplanned interruption to its networked reticulation system.					
2.1	<i>The median response time for attendance of urgent callouts: from the time that the local authority receives notification to the time that service personnel reach the site.</i>	<2 hours	0:48	3:40	<b>Not Achieved</b>  There were 13 Urgent callouts in the last quarter.  Year to date is based off median response times all service requests during the year. This is likely to not have been achieved due to 12 urgent callouts in Q3 relating to a breakage in the Kaihu raw water line and one took three hours to attend.
2.2	<i>The median response time for resolution of urgent callouts: from the time that the local authority receives notification to the time that service personnel confirm resolution of the fault or interruption.</i>	<48 hours	2:29	5:37	<b>Achieved</b>  13 Urgent callouts this quarter. Year to date is based off median resolution times of all annual urgent service requests.

2.3	<i>The median response time for attendance of non-urgent callouts: from the time that the local authority receives notification to the time that service personnel reach the site.</i>	<3 hours	0:42	0:48	<b>Achieved</b>  Based off the median time for each quarter. Year to date is based off median time response of all annual service requests which were non-urgent callouts.
2.4	<i>The median response time for resolution of nonurgent callouts: from the time that the local authority receives notification to the time that service personnel confirm resolution of the fault or interruption.</i>	≤3 days	2:12	2:24	<b>Achieved</b>  Based off the median time for each quarter. Year to date is based off median time of all annual service requests which were non-urgent callouts.

**Customer Satisfaction**

The total number of water supply complaints received by Council.

2.5	<i>The total number of complaints for the district received by Council about drinking water. clarity, odour, taste, pressure or flow and continuity of supply, Expressed per 1,000 water connections</i>	≤38	6.13	24.51	<b>Achieved</b>  Q4 is based off 3590 water meter connections. Year to date is the total number of complaints between Q1 to Q4 related to the associated measures per 1000 water connections.
2.6	<i>Total number of complaints received by Council about Council's response to any of these issues. Expressed per 1,000 water connections</i>	≤38	0	0.6	<b>Achieved</b>  Based on two complaints and 3600 water connections in quarter one.

**Demand Management**

2.7	<i>The average consumption of drinking water per day per resident within Kaipara District = Billed metered Consumption (m<sup>3</sup>) x 1,000 / (Number</i>	Dargaville 275	292	292	<b>Not Achieved</b>
		Maungaturoto 340	286	286	<b>Achieved</b>
			141	141	<b>Not Achieved</b>
		Ruawai 130	53	53	<b>Not Achieved</b>
		Glinks Gully 52	641	641	<b>Not Achieved</b>



	of connections x 365 x 2.5 (occupancy rate)).	Mangawhai 230			<p>Water Balance KDC Report June 2021, Average water consumption per Kaipara resident spreadsheet.</p> <p>This is Department of Internal Affairs indicator measuring community water consumption rates.</p> <p>Mangawhai has 17 connections, one of which is the campground which has been included in this year's calculations. The performance target for measure has been revised in the 2021 LTP.</p>
2.8	Water take consents.	100% compliance with NRC water take consents		60% Compliance	<p><b>Not Achieved</b></p> <p>Four resource consents out of ten stated moderate non-compliance by Northland Regional Council (NRC). Public water take supply, Kaihu River, Maungaturoto, Dargaville and Piroa Stream – Maungaturoto did not meet the blue tick water meter verification condition of their resource consent. Public water take supply Maungaturoto, Dargaville and Piroa Stream – Maungaturoto did not meet the reporting conditions of their resource consent. In the next annual period monitoring will be better maintained, key minimum flows, average water takes and maximum discharges will be monitored more closely in line with the resource consent and water meters will be verified to better understand water usage/takes in KDC's water supply systems. These processes will help ensure 100% compliance. AUT.030845.01.01 and RED.007582.01 Source: NRC Power BI &amp; <a href="https://www.nrc.govt.nz/environment/compliance-monitoring/consent-compliance-monitoring-report/">https://www.nrc.govt.nz/environment/compliance-monitoring/consent-compliance-monitoring-report/</a></p>
2.9	Major capital projects are completed within budget	Achieved	Achieved	Achieved	<p><b>Achieved</b></p> <p>Comparison of Actual Costs to Approved Full Year Budget in Capex Listing 2020-2021.</p> <p>Actual Spend \$652,535 against a total budget of \$4,693,115 see details under "Capital Programme".</p>

<b>Safety of drinking water in accordance with NZDWS (bacteria compliance criteria)</b>					
2.10	<i>The extent to which Council's drinking water supply complies with part 4 of the NZDWS (bacteria compliance criteria).</i>	Dargaville Maungaturoto Ruawai Mangawhai Glinks Gully	Achieved Achieved Achieved Achieved Achieved	Achieved	The annual compliance report from the NDHB will arrive in November 2021. The current performance is based on the results from the compliance report received in November 2020 for the period ending 30 June 2020.
2.11	<i>The extent to which Council's drinking water supply complies with part 5 of the NZDWS (protozoal compliance criteria)</i>	Dargaville, Maungaturoto Ruawai, Glinks Gully Mangawhai	Achieved Achieved Achieved Achieved Achieved	Achieved	The annual compliance report from the NDHB will arrive in November 2021. The current performance is based on the results from the compliance report received in November 2020 for the period ending 30 June 2020.
2.12	<i>The percentage of real water loss from our networked reticulation system (average for total network of all schemes). Real water loss is calculated by subtracting the meter readings and 'other components' from the total water supplied to the networked reticulation system</i>	≤ 28%	28.3%	Not Achieved	Based off the combined average water loss of KDC's water supply systems under table 6.2 in the Water Balance KDC Report June 2021.  High percentage losses occur in older water networks like those in Kaipara.  Staff are actively focusing on renewals to rectify this issue, however, it will take a number of years to get to a point where the situation is remedied.

#### What we achieved against our Plan

What we planned and what we achieved against our plan	What we achieved
Continue developing a central database and GIS mapping for condition assessment information and generate a renewal programme.	Asset management of water supply has been steadily improved over the last year. Water meter and main lines have been updated and added as new properties are connected to various water supply systems and errors found in existing GIS. A notable project is correctly labelling and updating hundreds of Water Meter ID's in Asset Finda. Kai Iwi Lakes drinking water supply has been added into KDC's SCADA System ensuring full compliance around water monitoring.
Review and update the water safety plans for all five water	We are currently reviewing the Waste Safety Plans to meet the new guidelines

supply schemes using the latest requirements from NDHB	
Continue with condition assessments of water supply assets in alignment with wastewater and stormwater services, and feed into the renewals programme	There has been a total of 6 ultrasonic assessments on the 22km Dargaville raw watermain.
Continue developing hydraulic computer models for Dargaville, Maungaturoto and Ruawai reticulation networks, predicting pressures and flows to confirm network capacity and manage growth	Master models have been completed for Dargaville and Maungaturoto Water supply
Water loss management by ensuring the contractor adheres to reactive timeframes for leak requests, and is proactive in leak detection and effective meter reading	Contract Management Board has been established involving senior Ventia and KDC staff. This has provided a focus on this KPI and we have seen a strong response. They have met all contract KPIs.

## Capital Programme

Location	Actual 2021/2021	Budget from Annual Plan 2020/2021	Project Description
Dargaville WTP (12003)	\$38,660	\$128,773	Upgrade completed of control equipment and structural assessment at DWTP – further work on asset replacements, capacity assessment to be completed this year.
Dargaville – SH14 Watermain renewal (12007)	\$298,087	\$297,555	Project consisted of installation of 2.1km of watermain renewal on SH14 in Dargaville. Project is completed.
Dargaville – Normanby St Watermain renewal (Hokianga to Gladstone) (12009)	\$233,904	\$307,287	Project consisted of 570m of watermain renewal in Dargaville CBD. Project has been completed.
Dargaville – Raw Watermain river crossings	\$652,535	\$830,000	Project consists of design (stage 1) and construction (stage 2) of new raw watermain river crossings at 6 sites north of Dargaville. Design portion was completed within budget. Construction portion of project is currently underway. Supply chain delays have meant that this was unable to be completed in 20/21 FY, we now have guaranteed supply with estimated completion in September. Budget has been carried over.
Dargaville- Haimona and Pirika St Watermain renewals (13035)	\$588,564	\$650,000	DIA 3 waters reform funded project to renew watermains on Haimona, Pirika and Lorne St in Dargaville. Completed under budget.
Maungaturoto – Raw Watermain renewal (13040)	\$14,158	\$900,000	DIA 3 waters reform funded project to install 3km of new raw watermain on SH12 and SH1 in Maungaturoto. Tender has been received and final negotiations are underway. Budget has been carried forward.
Maungaturoto – Water Reservoir replacement (13041)	\$6,543	\$120,000	DIA 3 waters reform funded project to resolve issues at the Griffin Rd reservoirs in Maungaturoto. Options assessment is underway.  Budget has been carried forward.
Maungaturoto – Water truck filler & main upgrade (13042)	\$11,627	\$353,000	DIA 3 waters reform funded project to install a new potable water Truck Filling Station in Maungaturoto. This also includes a partial watermain upgrade. This is currently being designed.  Budget has been carried forward.

Maungaturoto – Hurndall St Watermain renewal (13043)	\$143	\$311,500	DIA 3 waters reform funded project to replace sections of asbestos cement pipes, and relocation of a section of pipe out of a known slip zone. This project is currently out for invited tender. Budget has been carried forward.
Ruawai – Watermain renewals (13037)	\$118,214	\$795,000	DIA 3 waters reform funded project to install a total of 3.4km of new watermain in Ruawai. Work is currently underway and making good progress. Budget has been carried forward.

For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020-2021	2020-2021	2019-2020	2019-2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### Water supply

### Operating funding

#### Sources of operating funding

General rates, uniform annual general charges, rate penalties	0	0	0	0
Targeted rates	3,165	3,145	3,273	3,056
Subsidies and grants for operating purposes	0	0	0	0
Fees and charges	489	491	451	491
Internal charges and overheads recovered	0	0	0	0
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	0	0	0	0
<b>Total operating funding</b>	<b>3,654</b>	<b>3,636</b>	<b>3,725</b>	<b>3,548</b>

#### Application of operating funding

Payments to staff and suppliers	2,113	1,281	1,659	1,298
Finance costs	277	277	274	274
Internal charges and overheads recovered	742	742	710	710
Other operating funding applications	0	0	0	0
<b>Total applications of operating funding</b>	<b>3,132</b>	<b>2,300</b>	<b>2,642</b>	<b>2,282</b>
<b>Surplus (deficit) of operating funding</b>	<b>522</b>	<b>1,337</b>	<b>1,082</b>	<b>1,266</b>

## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	1,023	0	267	245
Development and financial contributions	0	0	0	0
Increase (decrease) in debt	0	248	0	189
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0

<b>Total sources of capital funding</b>	<b>1,023</b>	<b>248</b>	<b>267</b>	<b>434</b>
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### Applications of capital funding

Capital expenditure - to meet additional demand	0	0	0	0
Capital expenditure - to improve the level of service	18	13	65	22
Capital expenditure - to replace existing assets	2,328	1,383	938	1,591
Increase (decrease) in reserves	(801)	189	347	87
Increase (decrease) of investments	0	0	0	0

<b>Total applications of capital funding</b>	<b>1,545</b>	<b>1,584</b>	<b>1,350</b>	<b>1,700</b>
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<b>Surplus (deficit) of capital funding</b>	<b>(522)</b>	<b>(1,337)</b>	<b>(1,082)</b>	<b>(1,266)</b>
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<b>Funding Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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## Stormwater Drainage

Council provides a stormwater system that is reliable, has adequate capacity and aims to protect people and their property from flooding. Council's stormwater network protects Kaipara's businesses, industrial areas and people's homes.

Stormwater is managed differently across the district:

- Dargaville, Baylys, Te Kopuru and Kaiwaka have a piped stormwater system with open drains;
- Mangawhai is a combination of soakage, stormwater reticulation and open drains;
- Pahi, Whakapirau, Tinopai, Paparoa and Maungaturoto stormwater is predominantly related to the road network;
- Tinopai, Kelly's Bay and Glinks Gully have road culverts; and
- Ruawai is integrated into the Raupo Drainage District works.

## Performance Measures

3.0	Stormwater drainage MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>System adequacy</b> To provide stormwater drainage systems in urban areas with the capacity to drain water from normal rainfall events and cope with a 1 in 10-year rain event.					
3.1	<i>For the flooding event, the number of habitable floors affected. (Expressed per 1,000 properties connected to the Council's stormwater system).</i>	<10	0	0	<b>Achieved</b> No habitable floors affected during the reporting period as no flooding events over this period.
<b>Discharge compliance</b> Compliance with Council's resource consents for discharge from its stormwater system.					
3.2	<i>The number of abatement notices received by Council in relation to those resource consents.</i>	0	0	0	<b>Achieved</b> No abatement notices received this quarter.
3.3	<i>The number of infringement notices received by Council in relation to those resource consents.</i>	0	0	0	<b>Achieved</b> No abatement notices received this quarter.
3.4	<i>The number of enforcement orders received by Council in relation to those Resource Consents.</i>	0	0	0	<b>Achieved</b> No abatement notices received this quarter.

3.5	<i>The number of convictions received by Council in relation to those Resource Consents.</i>	0	0	0	<b>Achieved</b> No abatement notices received this quarter.
<b>Response times</b> The median response time to attend to a flooding event.					
3.6	<i>The time from when Council receives notification to the time that service personnel reach the site.</i>	<2 hours for urgent events	N/A (no events)	0	<b>Achieved</b> No flooding events over this period.
<b>Customer satisfaction</b> The total number of stormwater system complaints received by Council.					
3.7	<i>The number of complaints received by Council about the performance of its stormwater system, expressed per 1,000 properties connected to the territorial authority's stormwater system.</i>	≤18	3	18.2	<b>Not Achieved</b> There were three complaints in Q4 related to this measure. The reason this measure was not achieved is there were a lot of complaints around ponding and blocked drains after heavy rainfall events were recorded in quarter 3 after a period of drought.

## What we achieved against our Plan

What we planned and what we achieved against our plan	What we achieved
Implement the agreed projects in the Mangawhai Community Plan	<p>Stormwater control works at Eveline Street were planned for 2020 however, to accommodate residents overlapping private projects, this project was delayed and will now be implemented in the 2021/22 financial year.</p> <p>Quail way stormwater improvements have been completed.</p> <p>Overland flow path/ponding location and protection improve knowledge and remodel performance achieved in Mangawhai. Flow path modelling has been completed and a program of filling in asset knowledge is underway. Once complete, this will allow for reliable modelling scenarios to be run so that future stormwater works are planned with confidence.</p>



	<p>Engineering standards revision for improved soakage design guidelines, overland flow path protection. Whangarei District Council is currently finalising their new engineering document and Kaipara is anticipating using this as a basis of the new standard.</p> <p>Mangawhai Stormwater scheme project has focused on the need to provide level of service and capacity for growth to the area around Wood Street. As well as safety projects (outlet grate installations) and small enabling improvements (network corrections at the old fire station site) planning concept design process has been started to tie green infrastructure and civil works projects in with the Wood Street revitalization project. This will continue into the next year.</p>
Annual Plan, capital projects over \$250,000 implementation	<p>Wetland planning and naturalised flow path alignment project was planned for 2020, however, delays have occurred as we progress landowner agreements. This project will now be implemented in the 2021/22 financial year.</p> <p>Multiple small capital works projects have been implemented in Dargaville. Collapsed culverts, root bound mains and unconnected networks have been replaced and upgraded. Dargaville's old network continues to be a challenge for asset management. Flood prevention projects such as the rerouting of the network on Victoria Street and maintenance of floodgates on River Road keep the network working to provide level of service to the community.</p>
Baylys Beach Chases gorge stormwater improvements	<p>Continue with development of capability, asset information capture. Multiple asset cleaning projects have been carried out including over half of Dargaville's pipes assessed for condition so that the renewals program can be planned next year.</p>
Dargaville Capital works	<p>Complete and adopt the SWCMP (Stormwater Catchment Management Plans) for the remaining serviced stormwater districts. Not completed due to budget restrictions.</p>
2018/2028 LTP year 3 Improvement Plan	<p>Develop a hydraulic computer model for the Dargaville Stormwater Catchment. In conjunction with NRC (Northland Regional Council) hydrodynamic modelling has been completed. Flow path modelling has been completed and a program of filling in asset knowledge is underway. Once complete, this will allow for reliable modelling scenarios to be run so that future stormwater works are planned with confidence.</p>

## Capital Programme

Location	Actual 2021/2021	Budget from Annual Plan 2020/2021	Project Description
Mangawhai Scheme	\$25,807	\$76,757	As well as safety projects (outlet grate installations) and small enabling improvements (network corrections at the old fire station site) planning concept design process has been started to tie green infrastructure and civil works projects in with the Wood Street revitalisation project.

For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020- 2021	2020- 2021	2019- 2020	2019- 2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

## Stormwater Drainage

## Operating funding

## Sources of operating funding

General rates, uniform annual general charges, rate penalties	294	294	263	263
Targeted rates	1,688	1,685	1,557	1,551
Subsidies and grants for operating purposes	0	0	0	0
Fees and charges	2	0	(3)	0
Internal charges and overheads recovered	0	0	0	0
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	0	0	0	0
<b>Total operating funding</b>	<b>1,984</b>	<b>1,980</b>	<b>1,816</b>	<b>1,814</b>

## Application of operating funding

Payments to staff and suppliers	642	703	471	799
Finance costs	146	146	211	211
Internal charges and overheads recovered	360	360	179	179
Other operating funding applications	0	0	0	0
<b>Total applications of operating funding</b>	<b>1,149</b>	<b>1,209</b>	<b>861</b>	<b>1,189</b>

## Surplus (deficit) of operating funding

<b>835</b>	<b>771</b>	<b>955</b>	<b>625</b>
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## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	0	0	0	0
Development and financial contributions	12	7	10	7
Increase (decrease) in debt	0	(5)	0	(233)
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0

<b>Total sources of capital funding</b>	<b>12</b>	<b>1</b>	<b>10</b>	<b>(226)</b>
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### Applications of capital funding

Capital expenditure - to meet additional demand	1	27	51	9
Capital expenditure - to improve the level of service	31	373	136	174
Capital expenditure - to replace existing assets	25	96	15	33
Increase (decrease) in reserves	790	276	763	183
Increase (decrease) of investments	0	0	0	0

<b>Total applications of capital funding</b>	<b>847</b>	<b>772</b>	<b>966</b>	<b>399</b>
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<b>Surplus (deficit) of capital funding</b>	<b>(835)</b>	<b>(771)</b>	<b>(956)</b>	<b>(625)</b>
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<b>Funding Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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## Sewerage and Treatment and Disposal of Sewage

Council provides schemes which collect, treat and dispose of wastewater from residential properties, businesses and public facilities.

This service also includes the collection, treatment and disposal of industrial liquid wastes (commonly known as trade wastes) from industrial premises across the district. We provide wastewater collection and treatment systems in:

- Dargaville;
- Glinks Gully;
- Kaiwaka;
- Maungaturoto;
- Te Kopuru; and
- Mangawhai.

### Performance Measures

4.0	Sewerage and the treatment and disposal of sewage MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>System and adequacy</b>  Legal compliance with all resource consents for discharges into the environment from Council systems. The exception provided for in the consent is severe weather events and power failure.					
4.1	<i>The number of dry weather sewage overflows from Council's sewerage system, expressed per 1,000 sewerage connections to that sewerage system.</i>	<1	0.2	1.6	<b>Achieved</b>  For current performance based on one dry weather sewerage overflow and 5614 wastewater connections. Not Achieved annually as there were nine dry weather sewage overflows across Q1 to Q4.
<b>Discharge compliance</b>  Compliance with the Council's resource consents for discharge from its sewerage system.					
4.2	<i>The number of abatement notices, infringement notices, enforcement orders and convictions received by Council in relation to its resource consents for discharge from its sewerage systems.</i>	0	1	1	<b>Not achieved</b>  Discharge from Maungaturoto Wastewater Treatment Plant by Ventia, due to potential overflow of pond membrane as a result of a heavy rain event. An abatement notice has been issued by NRC regarding excessive discharge of wastewater from the plant into the estuary.
<b>Fault response times</b>  Where Council attends to sewage overflows resulting from a blockage or other fault in Council's sewerage system.					

4.3	<i>Attendance time: from the time that Council receives notification to the time that service personnel reach the site.</i>	<2 hours	0:49	0:48	<b>Achieved</b>  Year to date median time is calculated from all wastewater service requests attendance times between Q1 and Q4.
4.4	<i>Resolution time: from the time that Council receives notification to the time that service personnel confirm resolution of the blockage or other fault.</i>	≤48 hours	3:00	2:50	<b>Achieved</b>  Year to date median time is calculated from all wastewater service requests resolution times between Q1 and Q4.
<b>Customer satisfaction</b>					
The total number of sewerage system complaints received by Council.					
4.5	<i>The total number of complaints received by Council about sewage odour. Expressed per 1,000 sewerage connections to that sewerage system.</i>	<10	0.36	4.63	<b>Achieved</b>  Based on 2 complaints in Q4 from a total of 5614 wastewater connections. Year to date is based off the total number of complaints related to sewage odour per 1000 connections between Q1 and Q4.
4.6	<i>The total number of complaints received by Council about sewerage system faults, e.g. blockages, breaks etc. Expressed per 1,000 sewerage connections to that sewerage system.</i>	<27	5.88	21.55	<b>Achieved</b>  Based on 33 complaints in Q4 and 5614 wastewater connections. Year to date is based off the total number of complains related to the associated measures per 1000 connections between Q1 and Q4.
4.7	<i>The total number of complaints received by Council about sewerage system. Expressed per 1,000 sewerage connections to that sewerage system.</i>	<50	6.23	26.72	<b>Achieved</b>  Based on 35 complaints in Q4 and 5614 wastewater connections. Year to date is based off the total number of complaints related to Council's sewerage system per 1000 connections between Q1 and Q4.
4.8	<i>Major capital projects are completed within budget</i>	Achieved	Achieved	Achieved	<b>Achieved</b>  Comparison of Actual Costs to Approved Full Year Budget in Capex Listing 2020-2021.

## What we achieved against our Plan

What we planned and what we achieved against our plan	What we achieved
Complete the central database and geospatial framework for condition assessment information and generate renewal programme from the system	All CCTV (Closed Circuit Television) footage of wastewater pipes is now up to date with additional CCTV planned in Dargaville to restart the renewal process again in 2021. A total of 18km of Wastewater lines have been completed. Asset conditions have been updated with faults highlighted in Asset Finda. A renewal program can now be created based on the condition of pipes. The three waters data improvement of wastewater assets in Mangawhai has improved the understanding of the system layout and now allows the starting process of hydrological modelling to occur.
Wastewater Modelling (Kaiwaka). Development of hydraulic model to identify capacity issues, optimisation of pumping stations, manage growth	Mangawhai wastewater system has undergone data improvement and now a hydrological model can be created based upon the new layout of wastewater assets being updated in GIS (Geographic Information System).  Master models have been completed for Dargaville and Kaiwaka Wastewater.
Complete the desludging of the Dargaville Wastewater Oxidation Pond	Approximately 85% has been completed with the project delayed due to additional consent requirements to dispose of additional volume of sludge.
Commence the extension of the MCWWS (Mangawhai Community Wastewater Scheme) reticulation system (ME3)	This work has been delayed due to Modelling requirements; this is now in its final stages. The work that is to be completed will be informed by the model once finalised.

## Capital Programme

Location	Actual 2021/2021	Budget from Annual Plan 2020/2021	Project Description
Te Kopuru – WWTP Aerator upgrade (13038)	\$38,768	\$60,000	DIA 3 waters reform funded project to install a new aerator at the Te Kopuru WWTP. This has now been installed and is undergoing commissioning. Remaining budget has been carried forward.
Darg – Wastewater pipe renewal from condition assessment (11020)	\$83,146	\$75,000	Project covers wastewater renewals as required in Dargaville. This has covered some upgrades in River Road and Tirarau St.
Darg – Pump Station 1 & 2 upgrade	\$154,104	\$311,954	Project covers upgrades at PS1 and PS2 in Dargaville. PS1 design is underway. PS2 upgrades are completed. \$50k budget has been carried over.
Darg – Wastewater treatment (12025)	\$18,587	\$50,000	Dargaville aerator is the only cost. Due to delays in building this has been deferred to year 1 of the 21/31 LTP
Darg – Wastewater renewals	\$45,969	\$198,000	DIA 3 waters reform funded project to renew aging wastewater pipes in Dargaville. Design is nearing completion. Budget has been carried over.
Mangawhai – Renewals including Pump stations (11010)	\$52,032	\$46,532	Reactive Pump replacements
Kaiwaka – Pipe renewals from condition assessment (11000)	NIL	\$50,000	This project has been incorporated as part of the DIA 3 waters reform Kaiwaka Wastewater Renewals project (below).
Kaiwaka – Wastewater renewals (13045)	\$33,696	\$171,000	DIA 3 waters reform funded project to renew aging wastewater pipes in Kaiwaka. Design is nearing completion. Budget has been carried over.
Mangawhai – Upgrade existing Reticulation (11041)	\$187,911	\$250,000	Remaining upgrades to be completed once modelling work has been completed.
Mangawhai – Extend Reticulation (13028)	\$92,022	\$250,000	Remaining upgrades to be completed once modelling work has been completed.
Mangawhai – MCWWS Balance tank stage 1	\$314,666	\$618,604	LTP funded project to construct new balancing tank at Mangawhai WWTP to deal with peak flows. Tenders have been received and tender evaluation is underway. Budget carried over.

For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020-2021	2020-2021	2019-2020	2019-2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### Sewerage and the treatment and disposal of sewage

#### Operating funding

##### Sources of operating funding

General rates, uniform annual general charges, rate penalties	1,484	1,484	1,324	1,324
Targeted rates	6,389	6,018	6,313	6,248
Subsidies and grants for operating purposes	0	0	0	0
Fees and charges	37	9	37	9
Internal charges and overheads recovered	0	0	0	0
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	0	0	0	0
<b>Total operating funding</b>	<b>7,910</b>	<b>7,511</b>	<b>7,674</b>	<b>7,581</b>

##### Application of operating funding

Payments to staff and suppliers	2,900	2,883	1,717	3,165
Finance costs	2,404	2,564	2,474	2,474
Internal charges and overheads recovered	1,385	1,385	1,446	1,446
Other operating funding applications	0	0	0	0
<b>Total applications of operating funding</b>	<b>6,688</b>	<b>6,831</b>	<b>5,637</b>	<b>7,085</b>

##### Surplus (deficit) of operating funding

<b>1,222</b>	<b>680</b>	<b>2,037</b>	<b>496</b>
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## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	118	0	0	0
Development and financial contributions	1,400	2,436	905	1,909
Increase (decrease) in debt	0	(715)	0	(675)
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0
<b>Total sources of capital funding</b>	<b>1,518</b>	<b>1,721</b>	<b>905</b>	<b>1,234</b>

### Applications of capital funding

Capital expenditure - to meet additional demand	577	2,053	925	1,655
Capital expenditure - to improve the level of service	185	528	528	388
Capital expenditure - to replace existing assets	317	515	639	869
Increase (decrease) in reserves	1,661	(695)	850	(1,181)
Increase (decrease) of investments	0	0	0	0
<b>Total applications of capital funding</b>	<b>2,740</b>	<b>2,401</b>	<b>2,942</b>	<b>1,731</b>
<b>Surplus (deficit) of capital funding</b>	<b>(1,221)</b>	<b>(680)</b>	<b>(2,037)</b>	<b>(496)</b>
<b>Funding Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Flood Protection and Control Works

In conjunction with the members of the local drainage districts, Council helps to facilitate the management and operation of 29 land drainage districts:

- Protect people and property from flooding;
- Flood control schemes;
- River alignment control; and
- Land drainage.

### Performance Measures

5.0	Flood protection and control works MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>Monitor drainage of rivers and streams, ensure minimal flood risk and coast erosion to the community</b>					
5.1	<i>The number of flood events not contained by the drainage district scheme</i>	0	0	0	<b>Achieved</b>  There were no flood events that were not contained, within the reporting period.
5.2	<i>Service requests for additional cleaning of drains, i.e. missed by the monitoring and maintenance programmes.</i>	<5 requests per year	2	4	<b>Achieved</b>
5.3	<i>Biannual inspection of our drainage network to ensure it can contain a 1 in 5-year flood.</i>	Twice yearly inspections	Achieved	Achieved	<b>Achieved</b>  Twice yearly inspections have been completed, including a pre-winter inspection on floodgates.
5.4	<i>Targeted maintenance of the stop bank system in the Raupo Drainage District to prevent tidal flows from inundating private property during high tide and/or when the river is in flood.</i>	Minimum yearly inspections and targeted maintenance completed	Yearly inspections and targeted maintenance completed	Yearly inspections and targeted maintenance completed	<b>Achieved</b>  All completed in line with the Raupo Committee management of the scheme.

## What we achieved against our Plan

What we planned and what we achieved against our plan	What we achieved
Continue assessing floodgates within target areas such as Raupo and Dargaville	This has continued throughout the year, both through the Raupo and all other districts, as a result three floodgates have been identified as needing work, these are Tatarariki 1, Awakino Valley, Raupo.
Continue assessing existing stopbanks, levels and conditions to help prepare for climate change and sea level rise	Some work has commenced on the Raupo Stopbanks, KDC are also working with NRC to develop a more detailed and accurate model.
Drainage districts identified for reduction/amalgamation to be prepared and processed for the next AMP update	At this stage this has not progressed as all individual districts have different levels of service and infrastructure assets.
Where required, hydraulic analysis of specific catchments to assess future upgrades to existing flood protection systems	This has not been completed. This will be completed in the next financial year in readiness for the proposed Raupo Stopbank Enhancement project.
Identified actions from hydraulic assessments to be processed into lists and associated costs prepared for next AMP update	This has not been completed. This will be completed in the next financial year in readiness for the proposed Raupo Stopbank Enhancement project.

## Capital Programme

Location	Actual 2021/2021	Budget from Annual Plan 2020/2021	Project Description
Bellamy F/G 48 (13017)	\$	\$65,000	Replacement.
Double Gate F/G 44 (13018)	\$	\$35,000	Remedial

Whitcombe Rd F/G 13 (13019)	\$	\$25,000	Remedial
Raupo Landing F/G 33 renewal (13048)	\$98,802	\$100,000	Replacement of floodgate, contract 973 Floodgate 33 was introduced in response to increase risks. This was prioritised over floodgates 48, 44 and 13 which have been carried over to financial year 21/22.

For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020-2021	2020-2021	2019-2020	2019-2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### Flood protection and control works

#### Operating funding

##### Sources of operating funding

General rates, uniform annual general charges, rate penalties	78	78	63	63
Targeted rates	712	714	646	647
Subsidies and grants for operating purposes	0	0	0	0
Fees and charges	1	8	4	8
Internal charges and overheads recovered	0	0	0	0
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	0	0	0	0
<b>Total operating funding</b>	<b>791</b>	<b>800</b>	<b>714</b>	<b>718</b>

##### Application of operating funding

Payments to staff and suppliers	425	419	430	475
Finance costs	0	0	0	0
Internal charges and overheads recovered	89	89	100	100
Other operating funding applications	0	0	0	0
<b>Total applications of operating funding</b>	<b>513</b>	<b>507</b>	<b>530</b>	<b>575</b>

<b>Surplus (deficit) of operating funding</b>	<b>278</b>	<b>293</b>	<b>184</b>	<b>143</b>
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#### Capital funding

**Sources of capital funding**

Subsidies and grants for capital expenditure	0	0	0	0
Development and financial contributions	0	0	0	0
Increase (decrease) in debt	0	16	0	0
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0

***Total sources of capital funding***

<b>0</b>	<b>16</b>	<b>0</b>	<b>0</b>
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**Applications of capital funding**

Capital expenditure - to meet additional demand	0	0	0	0
Capital expenditure - to improve the level of service	0	0	0	0
Capital expenditure - to replace existing assets	103	155	73	421
Increase (decrease) in reserves	175	155	111	(278)
Increase (decrease) of investments	0	0	0	0

***Total applications of capital funding***

<b>278</b>	<b>310</b>	<b>184</b>	<b>143</b>
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**Surplus (deficit) of capital funding**

<b>(278)</b>	<b>(293)</b>	<b>(184)</b>	<b>(143)</b>
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**Funding Balance**

<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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## Solid Waste

The solid waste activity aims to maintain public health and reduce environmental harm to the district through rubbish collection and recycling. Rubbish and recycling options are available for households, business and industry. Council provides:

- Kerbside refuse collection;
- Recycling collection;
- Two transfer stations;
- Management of public litterbins;
- Collection of illegally dumped rubbish;
- Removal of abandoned vehicles;
- Support of waste minimisation initiatives; and
- Monitoring, maintaining and managing closed landfills.

### Performance Measures

6.0	Solid waste MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>Reliability</b>					
To provide regular community kerbside collections.					
6.1	<i>Percentage of residents who are very satisfied or satisfied with waste management.</i>	75%	?%	59%	<b>Not achieved</b>  63% of residents surveyed were very satisfied or satisfied with the general refuse collection, only 39% were very satisfied or satisfied with recycling services.
To encourage recycling and reduction of waste to landfill.					
6.2	<i>Total amount of recycling (diverted from landfill) as a percentage of total waste collected.</i>	1% more than previous year	35%	33%	<b>Achieved</b>  A total of 6,497 tonnes of refuse and recycling was taken to transfer stations, 2,127 tonnes was diverted from landfill, this is an increase of 8% from the previous year.
6.3	<i>Closed landfill activities meet legislative compliance. No resource consent abatement</i>	0	0	0	<b>Achieved</b>

	<i>notices, infringement notices, enforcement orders or convictions.</i>				There have been no instances of breaches received for the 20/21 period.
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### What we achieved against our Plan

What we planned and what we achieved against our plan	What we achieved
Investigate options for improving/upgrading transfer stations to better enable waste diversion	Options were investigated including the introduction of new products to be recycled to the possible building of a new transfer station in Central Kaipara.  Options taken forward include  Dargaville and Hakuru Transfer stations offer timber and battery recycling.  A weighbridge proposal was developed and approved for the 2021 LTP.
Create a database for solid waste related physical assets and their condition ratings	This was achieved and all Solid Waste assets have been entered in the Asset Finder Management system.

### Capital Programme

Location	Actual 2021/2021	Budget from Annual Plan 2020/2021	Project Description
Hakuru Leachate improvements	\$308,806	\$550000	This project consisted of a filter plant being built that will treat leachate prior to it being released into the environment. It is a two-stage project with the second stage being the installation of a secondary filter bed if we cannot achieve consent conditions. If this is necessary, it will be completed in the 2021/22 year. Budget is available for this stage if it is required.

For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020-2021	2020-2021	2019-2020	2019-2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### Solid Waste

### Operating funding

#### Sources of operating funding

General rates, uniform annual general charges, rate penalties	1,055	1,055	1,216	1,216
Targeted rates	0	0	0	0
Subsidies and grants for operating purposes	0	0	0	0
Fees and charges	105	79	89	79
Internal charges and overheads recovered	0	0	0	0
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	0	0	0	0

<b>Total operating funding</b>	<b>1,160</b>	<b>1,134</b>	<b>1,305</b>	<b>1,295</b>
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#### Application of operating funding

Payments to staff and suppliers	695	699	768	846
Finance costs	17	17	18	18
Internal charges and overheads recovered	129	129	142	142
Other operating funding applications	0	0	0	0

<b>Total applications of operating funding</b>	<b>841</b>	<b>845</b>	<b>928</b>	<b>1,006</b>
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<b>Surplus (deficit) of operating funding</b>	<b>319</b>	<b>289</b>	<b>377</b>	<b>289</b>
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## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	0	0	0	0
Development and financial contributions	1	0	0	0
Increase (decrease) in debt	0	(32)	0	(38)
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0

### *Total sources of capital funding*

<b>1</b>	<b>(32)</b>	<b>0</b>	<b>(38)</b>
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### Applications of capital funding

Capital expenditure - to meet additional demand	0	0	0	0
Capital expenditure - to improve the level of service	0	0	0	0
Capital expenditure - to replace existing assets	0	0	0	0
Increase (decrease) in reserves	319	257	377	250
Increase (decrease) of investments	0	0	0	0

### *Total applications of capital funding*

<b>319</b>	<b>257</b>	<b>377</b>	<b>250</b>
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### Surplus (deficit) of capital funding

<b>(318)</b>	<b>(289)</b>	<b>(377)</b>	<b>(289)</b>
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### Funding Balance

<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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## Community Activities

- Oversee Pensioner Housing in Dargaville, Ruawai and Mangawhai;
- Manage our open spaces protecting and enhancing our natural assets;
- Provide community assistance and planning for community-led initiatives;
- Form partnerships to achieve community development goals; and
- Co-operatively provide library services alongside our communities.

## Performance Measures

7.0	Community activities MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>Community Housing</b>					
Quality: Affordability to provide housing suitable for members of the community who have difficulty providing it themselves.					
7.1	<i>Net cost to ratepayers for Council's community housing services.</i>	Zero	Zero	Zero	<b>Achieved</b> Costs for activity were met by rental income from all units
7.2	<i>Annual occupancy rate.</i>	90%	96%	97%	<b>Achieved</b> 2 Units Vacant in Mangawhai at year End
<b>Reserves and Open Space</b>					
Open spaces, linkages and facilities to promote community wellbeing and enjoyment.					
7.3	<i>Percentage of residents who are very/fairly satisfied with their local parks and sports fields.</i>	87%	<b>85%</b>	85%	<b>Not achieved</b> The lack of maintenance is the main concern.
7.4	<i>Percentage of residents who are very/fairly satisfied with the district's public toilets</i>	≥70%	71%	71%	<b>Achieved</b>
7.5	<i>Parks maintenance contract: a safe working environment is provided for those delivering the service, number of health and safety audits per month</i>	Contractor: 4 per month	Achieved	Achieved	<b>Achieved</b> 100% completed or 48 of 48
		Council: 1 per month	Not Achieved	Not Achieved	<b>Not achieved</b> Increased resources now brought in to ensure we meet a higher frequency of audit.

7.6	<i>Compliance with parks maintenance contract specifications – monthly audits</i>	90%	Achieved	Achieved	<b>Achieved</b> 100% i.e. 48 of 48 completed
<b>Libraries</b>					
7.7	<i>Percentage of library users who are very satisfied or satisfied with the district's library services</i>	85%	<b>80%</b>	<b>80%</b>	<b>Achieved</b> The lack of space in the Dargaville Library has affected the satisfaction of customers.

## What we achieved against our Plan

<b>What we planned and what we achieved against our plan</b>	<b>What we achieved</b>
<b>Library book replacement and H&amp;S redevelopment</b>	<p><b>Library H&amp;S redevelopment</b></p> <p>Completed in time and under budget. Positive public feedback on the new layout.</p> <p><b>Library book replacement</b></p> <p>Collection enhanced with additional resources for book purchases.</p>
<b>Deliver the capital works programme funding consistent with the Reserve Contribution Policy</b>	<p>This was successfully delivered.</p> <p>Financial contributions have helped fund (fully or partially) a number of capital projects</p> <ul style="list-style-type: none"> <li>- Pou Tu o Te Rangi – New Path around pond, new carpark area and Fire Fighting Tanks</li> <li>- Mangawhai Community Park – Parking improvements</li> <li>- Taharoa Domain – Re-vegetation Project</li> </ul>
<b>Develop a programme of works in partnership with Taharoa Domain, Mangawhai Community Park and Pou Tu o Te Rangi Harding Park Governance Committees</b>	<p>A \$300k programme for work was developed with all three of these Governance Committees. During this period Council attracted \$1.6m of additional funding which was primarily focused on our premier parks. Despite this, the Pou Tu o Te Rangi/Harding Park programme was fully delivered and the majority of the programme for Mangawhai Community Park.</p>
<b>Implement a reserves carpark/accessway renewal programme</b>	<p>This has been implemented.</p> <p>Existing carparks and accessways within reserves have been prioritised for renewal in the Long Term Plan</p>

<b>Deliver the toilet renewal programme</b>	New toilets for Mangawhai (3) and Maungaturoto have been procured and have been constructed offsite. They will be installed in FY21/22
<b>Deliver the Walking and Cycling Strategy</b>	A significant step forward has been achieved through securing funding for the Kaihu Valley Trail. This year has focused on engagement with Iwi, affected landowners and community.
<b>Deliver the Reserves and Open Space Asset Management Improvement Plan</b>	Activity Management Plan developed as part of the LTP. Completed

## Funding Grants

## Community Grants 2020-2021

<b>Organisation</b>	<b>Amount Approved</b>
Circus Kumarani Productions Trust (KPT)	\$7,583.37
Dargaville Menz Shed	\$9,600.00
Feeling Fab Trust	\$2,068.74
Hakaru Community Hall & Domain Soc	\$9,200.00
Kaipara Heritage Machinery (Dargaville) Inc	\$5,890.00
Kaiwaka Library	\$13,236.00
Kaiwaka Playcentre Inc	\$10,819.00
Mangawhai Community Garden	\$650.00
Mamaranui Bowling Club (Inc)	\$6,700.00
Northern Wairoa Agricultural & Pastoral Association Inc	\$4,580.00
Northern Wairoa Genealogy Society Inc	\$4,287.25
The Pouto Marine Hall Incorporated	\$5,084.84
The Helping Paws Charitable Trust	\$4,970.00
Tokatoka Hall Society Incorporated	\$1,610.12
Volunteering Northland	\$5,000.00
Whitebait Connections - Mountains to Sea Conservation Trust	\$845.00
Wild Side Charitable Trust	\$7,859.00
<b>Total granted</b>	<b>\$99,983.32</b>

## Creative Communities Grant

<b>Creative Communities 2020/2021 – Round 1</b>	<b>Amount Approved 6 October 2020</b>
<b>Organisation</b>	
Baylys Beats	\$2,000.00
Dargaville Arts Association Inc	\$1,658.50
Maungaturoto Residents Association	\$1,150.00
Oliver Knox	\$2,154.70
Otamatea Kauri and Pioneer Museum Board	\$1,800.00
Tinopai School	\$1,800.00
<b>TOTAL this round</b>	<b>\$10,563.20</b>
<b>Creative Communities Scheme 2020/2021 - Round 2</b>	<b>Amount approved 23 March 2021</b>
Dargaville Arts Association	\$5,406.28
Dargaville Community Cinema Charitable Trust	\$2,400.00
Lucina Orr	\$2,500.00
Northern Wairoa Maritime & Pioneer Museum Inc	\$1,595.52
Te Kopuru School Board of Trustees	\$1,400.00
White Rock Gallery	\$1,593.00
<b>TOTAL this round</b>	<b>\$14,894.80</b>

## Mangawhai Endowment Lands Account – MELA

Organisation	Amount
Mangawhai Activity Zone	\$18,990.00
Mangawhai Activity Zone	\$1,445.00
Mangawhai Domain Society Inc	\$15,000.00
Mangawhai Domain Society Inc	\$4,000.00
Mangawhai Football Club	\$3,000.00
Mangawhai Library Hall Trust	\$10,000.00
Mangawhai Museum and Historical Society Inc	\$1,250.00
Mangawhai Museum and Historical Society Inc	\$3,525.00
Mangawhai Shed Incorporated	\$20,000.00
Mangawhai Tracks Charitable Trust	\$17,500.00
Mangawhai Waka Ama Inc	\$10,000.00
Mangawhai Heads Volunteer Lifeguard Service Inc	\$16,127.00
NZ Fairy Tern Charitable Trust	\$2,199.00
Te Whai Community Trust	\$6,554.00
The Helping Paws Charitable Trust	\$2,730.00
<b>Total granted</b>	<b>\$132,320.00</b>

## Reserves Contribution Contestable Fund

Organisation	Approved
Cheviot St Reserve Restoration Group	\$3,420.00
Mangawhai Activity Zone	\$31,161.15
Mangawhai Domain Society	\$120,000.00
Mangawhai Historic Village Trust	\$1,830.00
Paparoa Lions Club	\$7,369.57
<b>Total granted</b>	<b>\$163,750.72</b>

## Rural Sports Travel Grant

Rural Travel Fund 2020/2021 - Round 1	
Organisation	Amount Approved 2020
Aranga School	\$434.78
Dargaville High School	\$2,500.00
Matakohe School	\$425.00
Otamatea High School	\$1,404.00
Ruawai College	\$1,375.66
Southern (Te Kopuru) Rugby & Netball Club	\$391.30
<b>Total funded</b>	<b>\$6,530.74</b>
Rural Travel Fund 2020/2021 - Round 2	
Arapohue School	\$529.00
Dargaville High School	\$4,000.00
Dargaville Primary	\$2,160.00
Mangawhai Football Club	\$1,000.00
Matakohe School	\$350.00
Otamatea High School	\$3,010.00
Paparoa School	\$1,000.00
Ruawai College	\$1,000.00
<b>Total funded</b>	<b>\$13,409.00</b>

## Capital Programme

Location	Actual 2020/2021	Budget from Annual Plan 2020/2021	Project Description
Kai iwi Lakes – Campground	\$9,365	\$200,000	Security Improvements – An independent investigation was undertaken which identified effective security improvements. Security gates and CCTV have been scoped and procurement commenced. These works will be undertaken in FY 21/22.
Libraries	\$180,916	\$209,000	Library book replacement and H&S redevelopment completed
Mangawhai Public toilet Amenities (X3)	\$276,316	\$455,865	These projects are funded by a combination of local share and the Tourism Infrastructure Fund. The toilet units have been built and paid for. Implementation will occur next year. The remaining money has been carried over to 21/22 year
Baylys Beach Boardwalk	\$311,280	\$313,451	Competed and winner of the Civil Contractor NZ Northland branch award for projects under \$500k. This was a Tourist Infrastructure Funded project to provide safer pedestrian access to the beach and toilets at Baylys Beach.
District Public Toilet Amenities	\$103,513	\$188,513	This Maungaturoto toilet upgrade is also a TIF project. The unit has been paid for and the remaining money has been carried over
Mangawhai Parks and Reserves	19,191	138,626	Budget was not able to be spent as available resources were focused on delivering the Te Tai Tokerau Re-Deployment Package
Playground - New	0	75,000	This new playground was intended to follow the Lincoln Toilet install. Residents have confirmed that they do not want a playground in this location and therefore the project has been stopped.
Taharoa Domain	12,640	100,000	The \$87,000 for the toilet refurbishment has been carried over.
Pou Tu o Te Rangi/ Harding Park	96,709	100,000	Project included more track installation, new carpark area and firefighting tanks



For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020-2021	2020-2021	2019-2020	2019-2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### Community Activities

### Operating funding

#### Sources of operating funding

General rates, uniform annual general charges, rate penalties	4,512	4,512	4,406	4,406
Targeted rates	347	331	341	322
Subsidies and grants for operating purposes	1,668	43	48	43
Fees and charges	1,382	1,081	1,257	1,001
Internal charges and overheads recovered	0	0	482	482
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	0	0	0	0
<b>Total operating funding</b>	<b>7,909</b>	<b>5,967</b>	<b>6,534</b>	<b>6,255</b>

#### Application of operating funding

Payments to staff and suppliers	6,085	4,878	4,362	4,856
Finance costs	50	50	45	45
Internal charges and overheads recovered	890	890	1,249	1,249
Other operating funding applications	0	0	0	0
<b>Total applications of operating funding</b>	<b>7,024</b>	<b>5,817</b>	<b>5,656</b>	<b>6,150</b>

<b>Surplus (deficit) of operating funding</b>	<b>884</b>	<b>149</b>	<b>878</b>	<b>105</b>
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## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	348	0	0	0
Development and financial contributions	2,669	500	1,342	1,000
Increase (decrease) in debt	0	43	0	193
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0

<b>Total sources of capital funding</b>	<b>3,017</b>	<b>543</b>	<b>1,342</b>	<b>1,193</b>
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### Applications of capital funding

Capital expenditure - to meet additional demand	592	1,121	852	1,736
Capital expenditure - to improve the level of service	390	506	352	506
Capital expenditure - to replace existing assets	247	345	238	401
Increase (decrease) in reserves	2,673	(1,279)	778	(1,345)
Increase (decrease) of investments	0	0	0	0

<b>Total applications of capital funding</b>	<b>3,902</b>	<b>693</b>	<b>2,220</b>	<b>1,298</b>
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<b>Surplus (deficit) of capital funding</b>	<b>(884)</b>	<b>(149)</b>	<b>(878)</b>	<b>(105)</b>
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<b>Funding Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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## Planning and Regulatory management

The regulatory management activity includes building, environmental planning, environmental health and animal management.

The building service ensures that new and existing buildings are developed in a safe and compliant manner to facilitate resource and building consents.

Environmental monitoring ensures public spaces are used appropriately by all, positively contributing to the health, safety and wellbeing of our community.

The animal management activity contributes to having a safe and enjoyable environment in which animals, animal owners and the wider community live together in harmony.

### Performance Measures

9.0	Regulatory management  MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>Building Control Inspections, Compliance and Enforcement</b>					
Ensure effective response to customer enquiries about building standards.					
9.1	<i>Percentage of building control customers who rate request for service responses as very satisfied or satisfied</i>	77%	57%	61.33%	<b>Not achieved</b>  55 residents were surveyed over the year. Slow responses and bureaucracy were the common issues. Overall figure is 2% higher than last year.
<b>Responsiveness</b>					
To process applications within statutory timeframes.					
9.2	<i>Percentage of Building Consents (BC) processed within 20 working days.</i>	100%	100%	96%	<b>Not achieved</b>  There was an all-time high in the volume of consent applications (839) received to year end, combined with higher levels of complexity (i.e., commercial).  783 were processed in total with 750 being on time.  Overdue applications were largely due to difficulties with getting access to contractors to process.  Additional contractors have been sourced, and recruitment is in progress to fill internal vacancies.
<b>Quality</b>					

Monitoring of BC applications and inspections to ensure projects comply with New Zealand Building Code.					
9.3	<i>Illegal activity/unauthorised work complaints investigated within three working days.</i>	94%	100%	96%	<b>Achieved</b>
<b>Resource Consents, Monitoring and Enforcement</b>					
Ensure effective response to customer enquiries about District Plan/Resource Consent requirements.					
Responsiveness: To process applications in accordance with statutory timeframes.					
9.4	<i>Percentage of non-notified Resource Consents processed within 20 working days.</i>	100%	100%	99%	<b>Not achieved</b>  272 consents were processed in total with 269 being on time. Only three consents were overdue during the year, which is an excellent result considering the volume and complexity involved
9.5	<i>Percentage of Land Information Memoranda (LIM) processed within 10 working days.</i>	100%	100%	100%	<b>Achieved</b>  825 LIM's processed this year with an average processing time of 5 days compared to 556 LIMs processed during the 2019/2020 year with an average processing time of 6 days.
Resolving of complaints concerning breaches of conditions of Resource Consent and other non-compliance with the District Plan.					
9.6	<i>Percentage of resource consent complaints regarding unconsented works and noncompliance with the District Plan and Resource Consent investigated within five working days.</i>	94%	100%	100%	<b>Achieved</b>  23 complaints were received and investigated within the timeframe.
9.7	<i>Percentage of all new granted Resource Consents are audited each year to ensure they comply with relevant conditions.</i>	25%	48%	31%	<b>Achieved</b>  143 newly granted resource consents were recorded for monitoring in 2020/2021, of which 45 were audited against their pre-commencement condition.

9.8	Percentage of s224(c) certificates for new land titles processed within 10 working days -	99%	100%	100%	<b>Achieved</b>  All s224's were processed within 10 working days. The average working days to year end were 1.02
<b>Environmental Health</b>  Health and Safety Customer Service: Regulate commercial operations to protect public health					
9.9	Percentage of food premises inspected at least once per year.	100%	100%	100%	<b>Achieved</b>  144 food premises have been verified in accordance with the verification schedule
9.10	Percentage of alcohol premises inspected at least once per year.	100%	100%	100%	<b>Achieved</b>  78 alcohol inspections completed in accordance with the schedule

## What we achieved against our Plan

What we planned and what we achieved against our plan	What we achieved
Regulatory - additional achievements	<p><b>Food Safety Audit</b> - The Food Safety team have met their statutory obligations under the Food Act 2014 including their performance requirements to act as an agency to carry out and conduct food verifications.</p> <p>In addition to this the QAM was audited by IANZ who gave a specific commendation on KDC's approach to our QMS (KDC are seen as a lead authority in NZ).</p> <p><b>Animal Management</b> – The KDC animal management has now been in house for over a year and the customer experience and service has significantly improved. KDC now also manages dog registrations in house.</p> <p>To bring the animal management service totally in-house the kennelling service is set to be completed by refurbishing the existing kennels. The target completion date is to be 1<sup>st</sup> July 2022.</p> <p><b>Wastewater Bylaw</b> – Improvements have been made to template letters focusing on a more friendly customer experience. A relaxing of the maintenance and performance reporting period taking into account the extent of occupation has been accommodated for. In addition to this a more streamlined wastewater Bylaw to replace the current one is being prepared.</p>

For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020-2021	2020-2021	2019-2020	2019-2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### Regulatory Management

#### Operating funding

##### Sources of operating funding

General rates, uniform annual general charges, rate penalties	2,505	2,505	2,992	2,992
Targeted rates	0	0	0	0
Subsidies and grants for operating purposes	0	0	0	0
Fees and charges	4,248	3,946	3,668	4,771
Internal charges and overheads recovered	514	514	584	584
Interest and dividends from investments	0	0	0	0
Local authorities fuel tax, fines, infringement fees and other receipts	11	5	7	5

<b>Total operating funding</b>	<b>7,278</b>	<b>6,970</b>	<b>7,251</b>	<b>8,352</b>
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##### Application of operating funding

Payments to staff and suppliers	5,546	5,329	5,965	6,550
Finance costs	0	0	0	0
Internal charges and overheads recovered	1,592	1,592	1,752	1,752
Other operating funding applications	0	0	0	0

<b>Total applications of operating funding</b>	<b>7,138</b>	<b>6,921</b>	<b>7,717</b>	<b>8,302</b>
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<b>Surplus (deficit) of operating funding</b>	<b>141</b>	<b>49</b>	<b>(465)</b>	<b>50</b>
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## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	0	0	0	0
Development and financial contributions	0	0	0	0
Increase (decrease) in debt	0	0	0	0
Gross proceeds from sale of assets	0	0	0	0
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0

### *Total sources of capital funding*

<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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### Applications of capital funding

Capital expenditure - to meet additional demand	0	0	0	0
Capital expenditure - to improve the level of service	0	0	0	0
Capital expenditure - to replace existing assets	0	0	0	0
Increase (decrease) in reserves	141	49	(465)	51
Increase (decrease) of investments	0	0	0	0

### *Total applications of capital funding*

<b>141</b>	<b>49</b>	<b>(465)</b>	<b>51</b>
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### Surplus (deficit) of capital funding

<b>(141)</b>	<b>(49)</b>	<b>465</b>	<b>(51)</b>
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### Funding Balance

<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
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## District Leadership, Finance and Internal Services

District Leadership, Finance and Internal Services is the activity of running the business of Council. It involves democratic support to Elected Members, Council, its committees and other bodies in local decision-making and governance processes. It ensures governance structures and processes are effective, open and transparent. It also involves the development of policies, bylaws and the District Plan together with contributing to civil defence emergency management.

### Performance Measures

8.0	District leadership MEASURED BY	LTP Year 3 Target 2020/2021	Current Performance	Year to date	Comments
<b>Governance</b>					
Compliance with legal requirements around formal meetings of Council and its Committees.					
8.1	<i>Percentage of residents that are very/fairly satisfied with how rates are spent on services and facilities provided by Council</i>	70%	75%	75.66%	<b>Achieved</b>
8.2	<i>Long Term Plan, Annual Plan and Annual Reports will be adopted within timeframes set in the Local Government Act 2002.</i>	Compliant	Compliant	Compliant	<b>Achieved</b>  The 2019/20 Annual Report was adopted at the 16 December 2020 Council Meeting, which met the updated statutory obligations as a result of the Covid-19 pandemic. The Long Term Plan was adopted 30 June 2021.
<b>Civil Defence Management</b>					
8.3	<i>Conduct Civil Defence training exercises.</i>	1 per year	Achieved	Achieved 1	<b>Achieved</b>  There was one Civil Defence training exercise conducted in June 2021.

## Capital Programme

Location	Actual 2021/2021	Budget from Annual Plan 2020/2021	Project Description
<b>Economic Development</b>  Kaipara Wharves physical – Kaipara Kickstart		\$3,000,000	<p><b>Kaipara Wharves</b> - The Dargaville pontoon was opened in October 2020. The award of construction contracts for both Pahi Wharf and Pouto wharf are underway, with physical works to begin on both sites mid-2021. Pahi and Pouto wharves are planned to be completed in 2021.</p> <p><b>Kaipara Water</b> - This project establishes two practical working examples of irrigating high value horticultural crops in the Kaipara.</p> <p>A site management agreement has been established between Council and Northland Inc and works are underway to support the planting of crops for Spring 2021.</p>
<b>Information Services</b>  Digital Transformation (HRIS and Record Management)	\$275,965.00	\$405,000.00	<p>Human resources information system (HRIS) is for employee performance management, learning &amp; development, recruitment, and payroll. To replace spreadsheets and other manual systems.</p> <p>It is a modular system being customized and introduced in to KDC module by module. For the FY 20/21 the foundation, upskilling and training modules were implemented. The remaining parts will be completed in FY 2021/22</p> <p>EDRMS or electronic document management system is a software system built on Microsoft SharePoint designed to create, manage, use, store and dispose of both physical and digital documents and records in an integrated, and legislatively compliant way.</p> <p>The project outcomes have in large been completed with at risk data migrated to Microsoft Cloud, business functions using Te Aka. Completion of the project is scheduled to occur prior to August 2021.</p>

For the year ended:	Actual	Annual Plan	Annual Report	Annual Plan
30 June	2020-2021	2020-2021	2019-2020	2019-2020
	\$'000	\$'000	\$'000	\$'000

## Funding Impact Statement

### District Leadership, Finance and Internal Services

#### Operating funding

##### Sources of operating funding

General rates, uniform annual general charges, rate penalties	6,046	6,406	4,938	4,438
Targeted rates	0	0	0	0
Subsidies and grants for operating purposes	1,086	201	1,984	2,671
Fees and charges	658	339	548	139
Internal charges and overheads recovered	8,316	8,467	8,937	8,351
Interest and dividends from investments	54	20	18	20
Local authorities fuel tax, fines, infringement fees and other receipts	446	315	386	315
<b>Total operating funding</b>	<b>16,606</b>	<b>15,748</b>	<b>16,811</b>	<b>15,933</b>

##### Application of operating funding

Payments to staff and suppliers	14,544	13,217	14,111	13,979
Finance costs	(434)	(267)	(823)	(327)
Internal charges and overheads recovered	685	571	1,380	912
Other operating funding applications	0	0	0	0
<b>Total applications of operating funding</b>	<b>14,796</b>	<b>13,520</b>	<b>14,668</b>	<b>14,564</b>

##### Surplus (deficit) of operating funding

<b>1,810</b>	<b>2,228</b>	<b>2,143</b>	<b>1,369</b>
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## Capital funding

### Sources of capital funding

Subsidies and grants for capital expenditure	637	3,000	0	4,000
Development and financial contributions	0	0	0	0
Increase (decrease) in debt	0	(138)	(1,000)	5,263
Gross proceeds from sale of assets	374	0	89	175
Lump sum contributions	0	0	0	0
Other dedicated capital funding	0	0	0	0
<b>Total sources of capital funding</b>	<b>1,011</b>	<b>2,862</b>	<b>(911)</b>	<b>9,438</b>

### Applications of capital funding

Capital expenditure - to meet additional demand	0	0	0	0
Capital expenditure - to improve the level of service	1,426	3,640	1,573	5,640
Capital expenditure - to replace existing assets	216	320	335	535
Increase (decrease) in reserves	1,180	1,130	(676)	4,632
Increase (decrease) of investments	0	0	0	0
<b>Total applications of capital funding</b>	<b>2,822</b>	<b>5,090</b>	<b>1,231</b>	<b>10,807</b>
<b>Surplus (deficit) of capital funding</b>	<b>(1,811)</b>	<b>(2,228)</b>	<b>(2,142)</b>	<b>(1,369)</b>
<b>Funding Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Elected member attendance

Type of meeting held	Number of meetings held	Mayor Smith	Deputy Mayor Curnow	Cr del la Varis-Woodcock	Cr Joyce-Paki	Cr Larsen	Cr Vincent	Cr Wetthey	Cr Wills	Cr Wilson-Collins
Kaipara District Council(Ordinary)	11	11	10 *	11	11	11	11	11	9	11
Kaipara District Council(Emergency)	1	1	1	1	-	-	1	1	1	1
Council Briefings	12	11	11	12	5	10	12	12	10 *	11
Council Workshops	2									
Long Term Plan Briefing	7	7	7	7	4	5	7	5	3 *	7
Hearings and Deliberations (LTP)	2	1	2	2	2	2	2	2	2	2
Audit Risk and FinanceCommittee	5	-	5	-	-	5	-	5	2	2
Awards and Grants Committee	4	1^	-	3	3	-	4	4	-	-
Externally Funded Projects Committee	5	5	5	3	2	4	5	4	5	4
Pou Tu o Te Rangi HardingPark Committee	4	-	-	-	4	-	-	4	-	-
Mangawhai Community ParkGovernance Committee	6	5^	6	5	-	5	-	-	-	4
Raupo Drainage Committee	3	2^	3	-	-	-	-	-	3	-
Remuneration and DevelopmentCommittee	2	2	2	-	-	-	-	2	1#	2
Taharoa Domain GovernanceCommittee	4	3^	-	-	3	2	-	-	-	-

^ -The Mayor is a member of every committee of the local authority, and these meetings were attended in this capacity.

\* - Denotes EM was on other Council Business for some of these absences – refer to minutes

# - Leave of Absence